

February 14, 2011

Finance Committee: 1-31-2011

RESOLUTION NO. 66-2011

ADOPTING PROPOSED LOCAL LAW A (NO. __) FOR THE YEAR 2011, AMENDING LOCAL LAW NO. 1, FOR YEAR 2009, THE ST. LAWRENCE COUNTY ETHICS LAW, TO MAKE CHANGES TO THE ANNUAL STATEMENT OF FINANCIAL DISCLOSURE

By Mr. Morrill, Chair, Finance Committee

WHEREAS, St. Lawrence County, in Local Law No. 1 of 2009, enacted a local Ethics Law and created a Board of Ethics, and

WHEREAS, the Board of Ethics has reviewed the Annual Statement of Financial Disclosure adopted as part of the Ethics Law and finds that certain changes to the Annual Statement of Financial Disclosure would clarify the wording and provide additional information necessary for the Ethics Board to determine compliance with the Ethics Law when called upon to do so, and

WHEREAS, a Public Hearing regarding this Local Law was held on January 24, 2011,

BE IT ENACTED by the Board of Legislators of St. Lawrence County as follows:

Section 1. St. Lawrence County hereby amends Local Law No. 1 of 2009 by deleting the entire Annual Statement of Financial Disclosure included in Article 24 of that Local Law and replacing it in its entirety with the following Annual Statement of Financial Disclosure:

ANNUAL STATEMENT OF FINANCIAL DISCLOSURE
County of St. Lawrence
For Year: _____

In this disclosure statement the term "County" includes the County and its departments and agencies.

Last Name	First Name	Initial
-----------	------------	---------

Title or Capacity in which you serve	Department or Agency
--------------------------------------	----------------------

Work Address	Telephone Number
--------------	------------------

If the answer to any of the following questions is "none" or "does not apply" please so indicate.

1. Provide the name(s) of your spouse or domestic partner and all children.

Spouse/Domestic Partner	Child
_____	_____
Child	Child

Child

Child

2. Real Estate Ownership. List the address of each piece of property that you, your spouse, domestic partner, or children own or have a financial interest in. List only real estate that is in the County of St. Lawrence or within one mile of the boundary of the County of St. Lawrence.

Address of Real Estate	Type of Interest	Owner and Financial Interest
<i>E.g. 6 Central Street, Anytown</i>	<i>Ice cream shop</i>	<i>Self 100%</i>
<i>1 Main Street, Anytown</i>	<i>Smith Barber Shop</i>	<i>Dan Smith (son) 50%</i>

3. Your non-county employer or business. List the name of any non-county employer of business from which you receive compensation for services rendered or goods sold or produced or of which you are a member of a board of directors, officer, or employee. Also include any entity in which you have an ownership interest, except a corporation of which you own less than five percent of the outstanding stock. Identify the type of business, such as a partnership, corporation, self-employment, or a sole proprietorship and list your relationship to the employer or business.

Name of Non-County Employer Or Business	Nature of Business	Type of Business	Relationship to Business
<i>[E.g Tech IM Computer</i>	<i>Computer</i>	<i>Corporation</i>	<i>Pres./ Shareholder]</i>

4. Other Income: If you derived any income from a business which is or has been doing business with St. Lawrence County, identify the source and nature of such income in excess of \$1,000, including deferred income, employer contributions to a pension or retirement fund, profit sharing plan, severance pay, or payments under a buy-out agreement.

Name and Address of Income Source	Description of Income (eg., pension, deferred, etc.)

5. Relatives in St. Lawrence County Service. List each relative who is an officer or employee of St. Lawrence County, whether paid or unpaid, including relative's name, relationship to you, title, and department. Relative means your spouse, domestic partner, child, stepchild, grandchild, brother, sister, parent, stepparent, plus any person you claimed as a dependent on your latest income tax return, and that dependent's spouse or domestic partner.

February 14, 2011

<u>Name of Family Member</u>	<u>Relationship to You</u>	<u>Title</u>	<u>Department</u>
<i>E.g.: Alex Jones</i>	<i>Sister's husband</i>	<i>Code Enf. Officer</i>	<i>Building & Grounds</i>

6. Your spouse's or domestic partner's employer or business. List the information for your spouse or domestic partner.

<u>Name of Employer Or Business</u>	<u>Nature of Business</u>	<u>Type of Business</u>	<u>Relationship to Business</u>
<i>[E.g Tech IM Computer</i>	<i>Computer</i>	<i>Corporation</i>	<i>Pres./ Shareholder]</i>

7. Business relationships with St. Lawrence County. To the best of your knowledge, list any office, trusteeship, directorship, partnership, or other position in any business, association, proprietorship, or not-for-profit organization held by you or by your spouse or domestic partner or by your children, if any such entities are doing business with the County.

<u>Name of Family Member</u>	<u>Position</u>	<u>Organization</u>	<u>Nature of Involvement</u>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

8. Money you owe. List any debts of \$10,000 or more that you owe to an officer or to an employee of St. Lawrence County or to any entity that does business with St. Lawrence County. Do not list debts that you owe to banking companies that have an official banking relationship with St. Lawrence County.

<u>Creditor</u>	<u>Type of Obligation</u>
<i>Legislator E. Nuff</i>	<i>Promissory Note</i>

9. Money owed to you. List any debts of \$10,000 or more owed to you by an Officer or employee of St. Lawrence County or by any person or entity that does business with St. Lawrence County.

February 14, 2011

Debtor	Type of Obligation
<i>XYZ Contractors</i>	<i>Bridge Loan</i>

10. List and identify your potential conflicts of interest, if any. You may seek the advice of the Board of Ethics.

11. Additional comments, if any.

12. I have completed St. Lawrence County approved ethics training _____

13. I have read and understand the St. Lawrence County Ethics Law _____

THE REQUIREMENTS OF LAW RELATING TO THE REPORTING OF FINANCIAL INTERESTS ARE IN THE PUBLIC INTEREST AND NO ADVERSE INFERENCE OF UNETHICAL OR ILLEGAL CONDUCT OR BEHAVIOR WILL BE DRAWN MERELY FROM COMPLIANCE WITH THESE REQUIREMENTS.

Signature of Reporting Individual

Date (month/date/year)

Section 2. This local law shall take effect upon filing in the Office of the Secretary of State.

STATE OF NEW YORK)
) ss:
COUNTY OF ST. LAWRENCE)

I, Chandra Coffey, Deputy Clerk of the St. Lawrence County Board of Legislators, DO HEREBY CERTIFY, that I have compared this Resolution, adopted February 14, 2011, with the original record in this office and that the same is a correct transcript thereof and of the whole of said original record.

Chandra Coffey
Chandra Coffey, Deputy Clerk
St. Lawrence County Board of Legislators
February 15, 2011